

INSTRUCTIONS

1. The purpose of this Claim Form is to help eligible members claim and receive compensation from a class action settlement. Eligible claimants are clients of investment advisor Brian Verbeek (“Verbeek”) who transferred monies from their Registered Retirement Plans to Dundee Securities Corporation (“Dundee”) to purchase shares in certain Canadian Controlled Private Corporations (“CCPCs”) between August 27, 1999 and May 1, 2000 while Verbeek was an investment advisor registered with Dundee and have not opted out of this lawsuit (the “Dundee Subclass”).
2. Review the form carefully and fill out all required information. Please review the checklist below:
 - Identify yourself as a claimant and use Part I of the Claim Form to explain (i) whether you held the shares in your own name or they were held in the name of your broker or other nominee, (ii) if you are filing this claim on behalf of the beneficial owner or (iii) if you bought the shares jointly with someone else (e.g. as an administrator, executor, guardian, trustee, etc.). The Claim Form must be filed by the actual Beneficial Owner(s) or the legal representative of such owner(s).
 - List all of your purchases and loans, if applicable, during the class period separately and in chronological order, by date, beginning with the earliest.
 - Attach documentation, such as broker confirmations, trading slips or purchase receipts, which show all of the above in respect of your share purchases.
 - Provide the account number where you want your compensation deposited, unless you are choosing payment by cheque.
 - Submit your completed and signed Claim Form postmarked on or before **January 23, 2018** addressed to the Administrator:

Canadian Dundee Class Action Claims Administrator
P.O. Box 4454 Toronto Station A
25 The Esplanade Toronto, ON M5W 4B1

3. Only those Claimants who submit properly completed Claim Forms with the required proof in a form that is satisfactory to the Claims Administrator are eligible to share in the Settlement Amount. Submission of this Claim Form alone does not guarantee that you will share in the Settlement Amount.

KEY DEFINITIONS

1. “Administrator” means RicePoint Administration Inc.
2. “Claimant” means a Dundee Subclass Member who submits a properly completed Claim Form and all required supporting documentation to the Administrator, on or before the Claim Deadline.
3. “Claims Deadline” means **January 23, 2018**.
4. “Court” means the Ontario Superior Court of Justice.
5. “Canadian Controlled Private Corporations” (“CCPCs”) means Atlas McKenzie Inc., Data Safenet Inc., Distribution Perilandaise Inc., Eau-Necessaire Inc., Eurontario Inc., Flash VDO PC Inc., Generatrices 2000 Plus Inc., LMN Techno-Soft Inc., Logiciels St. Malo Inc., Mainmont, NAV et LOGI-CIEL Inc., Sylkon Securities Inc., Vilcorp, Edimax Technologie Inc., Inter Technologie Inc., Intermax Technologie Inc., Via Net Tech In. CL-B, or Vox Technologie Inc.
6. “Distribution Protocol” means the plan for distributing the Settlement Fund to the Dundee Subclass, as approved by the Court.
7. “Dundee Subclass” means clients of investment advisor Brian Verbeek (“Verbeek”) who transferred monies from their Registered Retirement Plans to Dundee Securities Corporation (“Dundee”) to purchase shares in certain CCPCs listed above between August 27, 1999 and May 1, 2000 while Verbeek was an investment advisor registered with Dundee and have not opted out of this lawsuit.
8. “Excluded Persons” means a member of the Dundee Subclass who has opted out of the Proceedings.
9. “Loan” means monies given to a Dundee Subclass Member by the promoter of the CCPC for which the CCPC shares were held as collateral.
10. “Loss” means the amount of which a Claimant invested for the purposes of purchasing shares through Brian Verbeek during the period of August 27, 1999 to May 1, 2000, while Verbeek was registered with Dundee, in one of the CCPCs listed above.



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Ivany v. Dundee Class Action
Ontario Superior Court of Justice
Court File No. 01-B2684
CLAIM FORM

**Must Be Postmarked
No Later Than
January 23, 2018**

DVQ

Please Type or Print in the Boxes Below
Do NOT use Red Ink, Pencil, or Staples

PART I: CLAIMANT IDENTIFICATION

Last Name	M.I.	First Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Company Name (Beneficial Owner - If Claimant is not an Individual)		
<input type="text"/>		
Filer Name, If Different from Beneficial Owner Listed Above		
<input type="text"/>		
Capacity of the Filer, if Not Beneficial Owner (e.g., Executor, Administrator).		
<input type="text"/>		
Dundee/Hollis Account#		
<input type="text"/>		

Social Insurance Number/Social Security Number/Unique Tax Identifier

Telephone Number (Work)

 — —

Telephone Number (Home)

 — —

Email Address

MAILING INFORMATION

Address									
<input type="text"/>									
Address									
<input type="text"/>									
City						State		Zip Code	
<input type="text"/>						<input type="text"/>		<input type="text"/>	
Province			Postal Code			Country Name/Abbreviation			
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PART II (A). SCHEDULE OF TRANSACTIONS

(You must provide proof to support all of the information where requested below)

A. Did the Claimant invest for the purposes of purchasing shares through Brian Verbeek during August 27, 1999 and May 1, 2000, in one of the following CCPCs:

Atlas McKenzie Inc., Data Safenet Inc., Distribution Perilandaise Inc., Eau-Necessaire Inc., Eurontario Inc., Flash VDO PC Inc., Generatrices 2000 Plus Inc., LMN Techno-Soft Inc., Logiciels St. Malo Inc., Mainmont, NAV et LOGI-CIEL Inc., Sylkon Securities Inc., Vilcorp, Edimax Technologie Inc., Inter Technologie Inc., Intermax Technologie Inc., Via Net Tech In. CL-B, or Vox Technologie Inc. Yes No

B. Amount in Canadian Dollars which the Claimant invested for the purposes of purchasing shares through Brian Verbeek during August 27, 1999 and May 1, 2000, in one of the CCPCs listed in section A above:

PURCHASES Table with columns: Trade Date(s) of Shares, Number of Shares Purchased, Total Purchase Price (Canadian \$) Including Commissions, Proof of Purchase Enclosed?

C. Complete the following section ONLY if the Claimant received a loan in exchange for their share purchases from one of the CCPCs:

1. Please indicate the total of all loans received in exchange for the share purchase(s) between August 27, 1999 and May 1, 2000: C\$ Proof Enclosed?
2. If the Claimant made payments on these loans, please indicate the total of all loans repaid: C\$ Proof Enclosed?

D. Please select one of the following options detailing how Compensation from this Class Action will be paid to the Claimant:

Options for compensation: A direct deposit into your RRSP Account or other registered account at a Canadian financial institution. Direct payment by cheque, subject to an amount that will be withheld by Dundee on account of taxes that must be remitted to the government.



PART III. Release of Claims

I verify that I have / have not received compensation through other proceedings or private out-of-class settlements and/or provided a release in respect of my amount invested in CPCCs. If you have received compensation or released claims, please provide the details here:

Compensation (CAD\$):

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Details of release: _____

PART IV. Declaration

I (we) declare under penalty of perjury that the information on this Claim Form is true, correct and complete to the best of my (our) knowledge, information and belief.

I (we) declare that I (we) have disclosed all purchase and loan transactions in for the time periods identified in this Claim Form.

I (we) also declare that I (we) am (are) not an Excluded Person or Excluded Persons as defined in the Settlement Agreement.

I (we) acknowledge and agree that the Claims Administrator may disclose all information relating to my (our) claim to the Court and counsel to the parties in the Action.

Executed this _____ day of _____ in _____
(Month/Year) (City/Province/Country)

(Sign your name here)

(Sign your name here)

(Type or print your name here)

(Type or print your name here)

(Capacity of person(s) signing, e.g.,
Beneficial Purchaser, Executor or Administrator)
Proof of Authority to File Enclosed? (Only required if filing
on behalf of the beneficiary.) Yes No

(Capacity of person(s) signing, e.g.,
Beneficial Purchaser, Executor or Administrator)
Proof of Authority to File Enclosed? (Only required if filing
on behalf of the beneficiary.) Yes No

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

- 1. Please sign the above declaration.
- 2. Remember to attach supporting documentation, if available.
- 3. Do not send original stock certificates; we may not be able to send them back.
- 4. Keep a copy of your Claim Form and all supporting documentation for your records.

5. The Claims Administrator will acknowledge receipt of your Claim Form by mail or email within 30 days. Your Claim Form is not deemed fully filed until you receive an acknowledgment postcard. If you do not receive an acknowledgment postcard within 30 days, please call the Claims Administrator toll free at **1-866-855-5548**.

6. If you move, you are required to send the Claims Administrator your new address. Failure to notify the Claims Administrator of a new address may result in your settlement benefits not being paid to you.

Privacy Statement

All information provided by the Claimant is collected, used, and retained by the Claims Administrator, Class Counsel, Dundee and any other third party (such as other financial institutions where the Claimant is directing his/her share to be contributed to a registered account) pursuant to the Personal Information Protection and Electronic Documents Act (PIPEDA) for the purposes of administering the Settlement, including evaluating the Claimant's eligibility status under the Settlement Agreement. The information provided by the Claimant is strictly private and confidential and will not be disclosed without the express written consent of the Claimant and an order of the Court.

"Class Counsel" is defined as Moodie Mair Walker LLP of Toronto, Ontario.

The "Administrator" is defined as RicePoint Administration Inc. of London, Ontario.

